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Bib Data Sheet

CONFIRMATION NO. 4456

SERIAL NUMBER 09/972,736	FILING DATE 10/05/2001 RULE	CLASS 705	GROUP ART UNIT 2164	ATTORNEY DOCKET NO. 3271/50
APPLICANTS William Baughman, Newton, MA; Rafael Hernandez, New York, NY; Harsh Shethia, London, UNITED KINGDOM; James A. MacPherson, Cos Cob, CT; Joseph Ferguson III, New York, NY;				
** CONTINUING DATA ***** THIS APPLN CLAIMS BENEFIT OF 60/238,484 10/06/2000 <i>HS</i>				
** FOREIGN APPLICATIONS ***** <i>HS</i>				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 11/08/2001				
Foreign Priority claimed 35 USC 119 (a-d) conditions met Verified and Acknowledged	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance <i>Signature</i> Examiner's Signature Initials	STATE OR COUNTRY MA	SHEETS DRAWING 8	TOTAL CLAIMS 11
INDEPENDENT CLAIMS 1				
ADDRESS 29858				
TITLE Integrated investment portfolio management system and method				
FILING FEE RECEIVED 870	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	